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Attorneys for Defendant
UBS SECURITIES LLC

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

FRANCIS FLEMING, Individually and
on Behalf of All Others Similarly
Situated. | Case No. 3:15-cv-02945-RS

Plaintiff,

NOTICE OF APPEARANCE

V.

THE CHARLES SCHWAB
CORPORATION, CHARLES SCHWAB
& CO., INC., CHARLES R. SCHWAB,
WALTER W. BETTINGER II and UBS
SECURITIES LLC.

Defendant.

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3:15-CV-029457

UBS SECURITIES LLC'S NOTICE OF APPEARANCE

1 Defendant UBS Securities LLC hereby appears in the above-captioned case through its
2 attorneys David C. Bohan, Patrick M. Smith, and Ryan J. Larsen of Katten Muchin Rosenman,
3 LLP.

4 DATED: July 27, 2015

5 Respectfully submitted,

6 **KATTEN MUCHIN ROSENMAN LLP**

7 David C. Bohan
Patrick M. Smith
Ryan J. Larsen

8
9 By: /s/
10 Ryan J. Larsen
11 Attorneys for Defendant
 UBS Securities LLC

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